ATTORNEYS & COUNSELORS

2435 N. Central Expwy., Suite 600 Richardson, Texas 75080 (972) 744-2900, Fax (972) 744-2909 www.jw.com





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DATE: March 22, 20	002	TOTAL PAGE COUNT:	12	
FROM: Robert C. Kli		DIRECT DIAL NUMBER:	(972) 744-2902	
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Frank W. Ahern

Art Unit:

2181

MAR 2 2 2002

Serial No.:

09/933,347

Examiner: unknown

Filed:

May 20, 2000

Docket No.: 101950.00027

For:

SYSTEM ENABLING DEVICE COMMUNICATION IN AN

EXPANDED COMPUTING DEVICE

## PETITION TO VOID SERIAL NUMBER 09/819,053 ASSIGNED TO APPLICATION AND REQUEST FOR REFUND

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

## CERTIFICATE OF FACSIMILE TRANSMISSION PURSUANT TO 37 C.F.R. 1.8

I hereby certify that this correspondence is being transmitted by facsimile to the Commissioner for Patents at facsimale number 703/308-6916 on March 22, 2002.

Debra A. Pepper

Two serial numbers have been assigned to the above-identified application, as follows:

- 09/819,053; and 1.
- 09/933,347. 2.

This Petition is being filed to request the voidance of Serial No. 09/819,053. In a Decision Granting Petition mailed June 7, 2001, Serial No. 09/819,053 was assigned to this application. A Filing Receipt for this serial number was mailed by the Office on November 7, 2001. A Filing Receipt for Serial No. 09/933,347 was mailed by the Office on December 18, 2001. Since there are presently two serial numbers assigned to the same application, it is requested that Serial No. 09/819,053 be voided and that prosecution be continued under Serial No. 09/933,347. Copies of the following documents are attached hereto which provides additional information:

Copy of Decision Granting Petition mailed June 7, 2001; A.

- B. Copy of Filing Receipt for Serial No. 09/819,053; and
- C. Copy of Filing Receipt for Serial No. 09/933,347.

In addition, receipt of a filing fee in the amount of 372.00 was acknowledged by the Office in the above mentioned Decision Granting Petition and a copy of the canceled check is attached hereto. Furthermore, a filing fee of \$372.00 was deducted from Jackson Walker Deposit Account No. 10-0096 in connection with Serial No. 09/933,347. A copy of the Deposit Account Statement dated December 31, 2001 is attached hereto showing that payment.

Since the filing fee was paid twice for the same application, it is requested that a refund in the amount of \$372.00 be issued in the form of a check payable to Jackson Walker L.L.P. to be mailed to the following address:

Jackson Walker L.L.P.

2435 N. Central Expressway, Suite 600

Richardson, Texas 75080

Should further information be required in order to grant this petition, please contact the undersigned attorney at the telephone number listed below. Please charge any petition fee required by this filing to Deposit Account No. 50-1752 (Jackson Walker L.L.P.).

Sincerely,

Røbert C. Klinger

Keg. No. 34,365

Attorney for Applicant

Jackson Walker L.L.P. 2435 North Central Expressway, Suite 600 Richardson, Texas 75080 (972) 744-2902 Phone (972) 744-2909 - Fax

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PETITIONS

06/20/01 WED 11:37 FAX



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENT UNITED STATES PATENT AND TRADEMARK OFFIC WASHINGTON, D.C. 2023

Paper No. 4

MAR 2 2 2002

Robert C. Klinger Jackson Walker, LLP 2435 N. Central Expressway Suite 600 Richardson, TX 75080

**COPY MAILED** 

JUN 07 2001

OFFICE OF PETITIONS **AVC PATENTS** 

In re Application of Frank W. Ahern Application No. 09/130,058 Filed: August 6, 1998 Attorney Docket No. 1 DOS 14

DECISION GRANTING PETITION

In re Application of Frank W. Ahern Application No. 09/819,053 Filed: May 20, 2000 Attorney Docket No. 101950.00027

This is a decision on the petition filed February 20, 2001, requesting that an application of FRANK W. AHERN entitled "SYSTEM ENABLING DEVICE COMMUNICATION IN AN EXPANDED COMPUTING DEVICE" (attorney docket No. 101950.00027) improperly filed pursuant to 37 CFR 1.53(d) be accorded a filing date of May 20, 2000 under 37 CFR 1.53(b).

Petitioner alleges that original application papers were filed in the Patent and Trademark Office (PTO) on May 20, 2000. In support, petitioner has supplied a copy of his postcard receipt followed by a copy of the original application papers as shown by counsel's file. The postcard receipt acknowledges the filing on May 20, 2000 of:

1. CPA Application

2. Declaration and Power of Attorney

3. Filing Fee; and

4. Postcard

The papers identified on the postcard receipt cannot be located in the PTO. However, PTO finance records do confirm receipt of CPA filing fees being paid on May 20, 2000 in Application No. 09/130,058.

On May 25, 2001, petitioner filed a copy of a request for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) of prior application No. 09/130,058, a declaration under 37 CFR 1.63 and a copy of the original specification and drawings from the prior application purportedly filed on May 20, 2000.

PETITIONS

06/20/01 WED 11:42 FAX

Application Nos. 09/130,058 & 09/819,053

Page 2

The present petition is being construed as a positive affirmation by the registered practitioner that the papers filed in the PTO on May 25, 2001, are true copies of the original application papers shown by counsel's file to have been filed in the PTO on May 20, 2000. Applicants should notify this office if the original papers are subsequently discovered in the PTO so that the present duplicate file can be merged with the original papers and any duplicate filing fee refunded.

Petitioner now indicate that the application was inadvertently filed pursuant to 37 CFR 1.53(d) and requests that the request for a CPA be treated as an application under 37 CFR 1.53(b), and accorded a filing date of May 20, 2000. It is noted that application No. 09/130,058 issued as U.S. Patent No. 6,070,214 on May 30, 2000, as a result of the payment of the issue fee on April 7, 2000.

The petition is **GRANTED**.

The copy of the request for a CPA application, including the accompanying papers filed May 25, 2001, and the petition filed February 20, 2001, have been assigned application No. 09/819,053. All future correspondence concerning the continuing application filed May 20, 2000 should be directed to application No. 09/819,053. One of the CPA filing fees paid in application No. 09/130,058 on May 20, 2000, will be reapplied to application No. 09/819,053.

Thereafter, application No. 09/130,058 will be returned to the Files Repository.

Application No. 09/819,053 is being forwarded to Initial Patent Examination Division for further processing, with a filing date of May 20, 2000, as an application filed pursuant to 37 CFR 1.53(b), not a CPA under 37 CFR 1.53(d), using the application papers supplied on May 25, 2001.

Telephone inquires related to this decision should be directed to the undersigned at (703) 305-9220. Telephone inquiries related to OIPE processing should be directed to their hotline at (703) 308-1202.

Sherry D. Brinkley Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner

for Patent Examination Policy



## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023

www.usplo.gov

IND CLAIMS DRAWINGS TOT CLAIMS FIL FEE REC'D ATTY.DOCKET.NO GRP ART UNIT FILING DATE APPLICATION NUMBER 101950-3 23 372 2181 05/20/2000 00027

**CONFIRMATION NO. 9991** 

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Robert C. Klinger Jackson Walker, LLP Suite 600 2435 N. Central Expressway Richardson, TX 75080

09/819,053

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MAR 2 2 2002

Date Mailed: 11/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Frank W. Ahern, Scottsdale, AZ;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/130,058 08/06/1998 PAT 6,070,214

Foreign Applications

If Required, Foreign Filing License Granted 10/12/2001

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

System enabling device communication in an expanded computing device

NOV 1 3 2001

**Preliminary Class** 

710

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

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## United States Patent and Trademark Office

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APPLICATION NUMBER

GRP ART UNIT FILING DATE 2181

FIL FEE REC'D ATTY DOCKET.NO DRAWINGS 372

1 DOS 14

TOT CLAIMS 23

IND CLAIMS

09/933,347

05/20/2000

**CONFIRMATION NO. 4443** 

FILING RECEIPT

OC000000007207028\*

Robert C. Klinger Jackson Walker, LLP Suite 600 2435 N. Central Expressway Richardson, TX 75080

Fax Received

MAR 2 2 2002

Date Mailed: 12/18/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

Frank W. Ahern, Scottsdale, AZ;

D mestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/130,058 08/06/1998 PAT 6,070,214

Foreign Applications

If Required, Foreign Filling License Granted 08/31/2001

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

System enabling device communication in an expanded computing device

**Preliminary Class** 

710

# LICENSE FOR FOREIGN FILING UNDER Titl 35, United States C d , Secti n 184 Title 37, C d of Federal Regulati ns, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to esplonage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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FINA

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USAGE TIME

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RESULT

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